OCT. 1 4 2004 PM

PTO/SB/21 (04-04)
Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

WAR -	Application Number	10/814,365	
TRANSMITTAL	Filing Date	March 31, 2004	
FORM	First Named Inventor	Omar Habib Khan, et al.	
(to be used for all correspondence after initial filing)	Art Unit	2171	
	Examiner Name	Unknown	

Total Number of Pages in This Submission			Attorney Docket Number	53051/294543	
		ENCLO	SURES (check all that apply)		
Fee Transmittal F	om	Drawing(s)		After Allowance Communication to Technology Center (TC)	
Fee Attached		Licensi	ng-related Papers	Appeal Communication to Board of Appeals and Interferences	
Amendment / Rep	oly	Petition	1	Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)	
After Final			n to Convert to a onal Application	Proprietary Information	
Affidavits/dec	laration(s)		of Attorney, Revocation e of Correspondence Address	Status Letter	
Extension of Time	e Request	Termin	al Disclaimer	Other Enclosure(s) (please identify below):	
Express Abandon	ment Request	_ `	st for Refund umber of CD(s)	-Form PTO/SB/08 (4 sheets) -34 References -Return Receipt Postcard	
	sure Statement				
Certified Copy of Document(s)	Priority	Rema	rks		
Response to Miss	•				
Response to Parts under 3 1.52 or 1.53					
	SIGNAT	URE OF A	APPLICANT, ATTORNEY, O	R AGENT	
Firm or Individual name	Michael J. Turton, Reg. No. 40,852 Kilpatrick Stockton LLP				
Signature	/W/ funts				
Date	Date October 12, 2004				
	CI	RTIFICA	TE OF TRANSMISSION/MAIL	LING	
I hereby certify that the	his correspondence is	s being face	simile transmitted to the USPTO	or deposited with the United States Postal	

Signature

Date

October 12-, 2004

This collection of information is required by 37 CFR 15. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450,

Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name

Emily Guida



Applicant:

Omar Habib Khan, et al.

Serial No.:

10/814,365

Filed:

March 31, 2004

For:

SYSTEMS AND METHODS FOR IDENTIFYING A NAMED ENTITY

Mail Stop Amendments Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Pursuant to 37 C.F.R. §§ 1.56, 1.97, and 1.98, Applicant submits herewith on Form PTO/SB/08 a listing of documents known to the Applicant and/or his attorney.

Applicant respectfully requests consideration of the cited documents and making the same of record in the prosecution of the above-identified application.

For several of the documents listed in "Non Patent Literature Documents," the date which is shown on the document is listed. Applicant makes no representation as to the publication date, if any, of the document. Copies of the documents are enclosed.

Applicant does not represent or admit that any document listed is prior art or material, and Applicant reserves the right to challenge any assertion that a document listed is prior art or material. Further, Applicant reserves the right to establish

CERTIFICATE OF MAILING (37 CFR 1.8a)

I hereby certify that this correspondence, along with any paper referred to as being attached or enclosed, is being deposited with the United States Postal Service on this 12 day of 1450, 2004 with sufficient postage as first-class mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

Emily Guida

U.S.S.N.: 10/814,365

Filed: March 31, 2004

Information Disclosure Statement

patentability over the listed documents.

It is respectfully requested that the references listed on the attached form PTO/SB/08 be expressly considered by the Examiner, made of record in the application, and appear among the "References Cited" on any patent to issue therefrom.

This Information Disclosure Statement ("IDS") is being submitted prior to the mailing of a first Office Action on the merits in this application. Accordingly, it is believed that no fees are due for consideration of this IDS. However, should any fees be due under 37 C.F.R. §1.16 or §1.17 or otherwise, the Commissioner is hereby authorized to charge these fees and any other additional fees which may be required during the entire pendency of this application, or to credit any overpayment, to Deposit Account No. 11-0855.

Respectfully submitted,

Michael J. Turton

Reg. No. 40,852

KILPATRICK STOCKTON LLP 1100 Peachtree Street, Suite 2800 Atlanta, Georgia 30309 404.815.6061

Attorney Docket No.: 53051/294543

PTO/SB/08a (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. Substitute to form 14 Complete if Known 10/814,365 Application Number INFORMATION DISCLOSURE March 31, 2004 Filing Date STATEMENT BY APPLICANT Omar Habib Khan, et al. First Named Inventor Art Unit 2171 (Use as many sheets as necessary) Examiner Name Unknown 53051/294543 Attorney Docket Number Sheet

	U.S. PATENT DOCUMENTS						
Examiner	Cite	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevan Passages or Relevant Figures Appear		
Initials *	No.¹	Number - Kind Code ² (if known)	MM-DD-TTTT				
	1	US- 6,006,222	12/21/1999	Culliss			
	2	US- 6,014,665	01/11/2000	Culliss			
	3	US- 6,078,916	06/20/2000	Culliss			
	4	US- 6,182,068	01/30/2001	Culliss			
	5	US- 6,581,056	06/17/2003	Rao			
	6	US- 2003/0167266	09/04/2003	Saldanha et al.			
	7	US- 2004/0143569	07/22/2004	Gross et al.			
	8	US Serial No 10/749,440	12/31/2003	Badros et al.			
	1	US-					
		US-					
		US-					
		US-					
		US-					
		US-					
		US-					
		US-					
		US-					
		US-					
		US-					
		US-					

	FOREIGN PATENT DOCUMENTS						
F		Foreign Patent Document	Publication	Name of Patentee or	Pages, Columns, Lines, Where Relevant	-	
Examiner Cite No.1	Country Code ³ - Number ⁴ - Kind Code ⁵ (<i>if known</i>)	Date MM-DD-YYYY	Applicant of Cited Document	Passages or Relevant Figures Appear	T ⁸		
	<u></u>						

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁵ Applicant is to place a check mark here if English language

Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/08b(08-03)

Approved for use through 07/31/2006. OMB 0551-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

work Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number

Substitute for form 1449B/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Complete if Known Application Number 10/814,365 March 31, 2004 Filing Date Omar Habib Khan, et al. First Named Inventor Art Unit 2171 Unknown Examiner Name 53051/294543 Attorney Docket Number

(Use as many sheets as necessary)

Sheet of

	_	NON PATENT LITERATURE DOCUMENTS	
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	9	80-20 Software – Products – 80-20 One Search, http://www.80-20.com/products/one-search/retriever.asp , printed 3/16/2004.	
	10	"askSam™ Making Information Useful," askSam, - Organize your information with askSam, http://www.asksam.com/brochure.asp , printed 3/15/2004.	
	11	Alexa® Web Search – Toolbar Quick Tour, http://pages.alexa.com/prod_serv/quicktour.html , pp. 1-5, printed 3/16/2004.	
	12	BARRETT, R. et al., "How to Personalize the Web," IBM Research, http://www.almaden.ibm.com/cs/wbi/papers/chi97/wbipaper.html , pp. 1-13, printed 3/16/2004.	
	13	BATTELLE, J., CNN.com "When geeks go camping, ideas hatch," http://www.cnn.com/2004/TECH/ptech/01/09/bus2.feat.geek.camp/index.html , pp. 1-3, printed 1/13/2004.	
	14	BOYAN, J., et al., "A Machine Learning Architecture for Optimizing Web Search Engines," School of Computer Science, Carnegie Mellon University, May 10, 1996, pp. 1-8.	
	15	BRADENBAUGH, F., "Chapter 1 The Client-Side Search Engine," <i>JavaScript Cookbook</i> , 1 st Ed., October 1999, O'REILLY™ Online Catalog, http://www.oreilly.com/catalog/jscook/chapter/ch01.html , pp. 1-30, printed 12/29/2003.	
	16	BRIN, S., et al, "The Anatomy of a Large-Scale Hypertextual Web Search Engine," http://www7.scu.edu.au/programme/fullpapers/1921/com1921.htm , pp. 1-18, 1998.	
	17	BUDZIK, J., et al., "User Interactions with Everyday Applications as Context for Just-in-time Information Access, Intelligent Information Laboratory, Northwestern University, pp. 1-8, no date.	
	18	DEVONthink, http://www.devon-techonologies.com/products/devonthink.php, printed 3/16/2004	
	19	dtSearch® -http://www.dtsearch.com/, printed 3/15/2004.	

			<u></u>
Examine	er .	Date	
Signatur		Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation in not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. And comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/08b(08-03)

Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number

Substitute	for form 1449B/PTO)			Complete if Known
				Application Number	10/814,365
			CLOSURE	Filing Date	March 31, 2004
STAT	TEMENT B	ΥΑ	PPLICANT	First Named Inventor	Omar Habib Khan, et al.
				Art Unit	2171
	(Use as many she	ets as	necessary)	Examiner Name	Unknown
Sheet	3	of	4	Attorney Docket Number	53051/294543

		NON PATENT LITERATURE DOCUMENTS	
Examiner	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
	20	DUMAIS, S., et al, "Stuff I've Seen: A System for Personal Information Retrieval and Re-Use," Microsoft Research, SIGIR'03, July 28-August 1, 2003, pp. 1-8.	
	21	Enfish, http://www.enfish.com, printed 3/16/2004.	
	22	Fast Search & Transfer – Home – Enterprise Search, http://solutions.altavista.com/en/news/pr 020402 desktop.shtmu, printed 3/16/2004.	
	23	FERTIG, S., et al., "Lifestreams: An Alternative to the Desktop Metaphor," http://www.acm.org/sigchi/chi96/proceedings/videos/Fertig/etf.htm , pp. 1-3, printed 3/16/2004.	
	24	GEISLER, G., "Enriched Links: A Framework for Improving Web Navigation Using Pop-Up Views," pp.1-14, 2000.	
	25	ISYS Search Software - ISYS: desktop, http://www.isysusa.com/products/desktop/index.html, printed 3/16/2004.	
	26	JOACHIMS, T., et al., "WebWatcher: A Tour Guide for the World Wide Web," 1996.	
	27	MARKOFF, J., "Google Moves Toward Clash with Microsoft," <i>The New York Times</i> , May 19, 2004, http://www.nvtimes.com/2004/5/19/technology/19google.html?ex=1085964389&ei=1&e pp. 1-4, printed 5/19/2004.	
	28	NARAINE, R., "Future of Search Will Make You Dizzy," Enterprise, May 20, 2004, http://www.internetnews.com/ent-news/article.php/3356831 , pp. 1-4, printed 5/21/2004	
	29	"Overview," Stuff I've Seen – Home Page, http://research.Microsoft.com/adapt/sis/index.htm , pp. 1-2, printed 5/26/2004.	
-	30	RHODES, B., "Margin Notes Building a Contextually Aware Associative Memory," The Proceedings of the International Conference on Intelligent User Interfaces (IUI'00), January 9-12, 2000.	
	31	RHODES, B., et al., "Just-in-time information retrieval agents," Systems Journal, Vol. 39, Nos. 3&4, 2000, pp. 685-704.	
	32	RHODES, B., et al., "Remembrance Agent – A continuously running automated information retrieval system," The Proceedings of the First International Conference on the Practical Application of Intelligent Agents and Multi Agent Technology (PAAM '96), pp. 487-495.	

Examiner	Date
Signature	Considered

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance

^{*}EXAMINER: Initial it reference considered, whether or not citation is in conformance with MPEP 609. Draw line infough citation in not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. 1450.

PTO/SB/08b(08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE ork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number

Substitute for form 1449B/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Complete if Known Application Number 10/814,365 Filing Date March 31, 2004 First Named Inventor Omar Habib Khan, et al. Art Unit 2171 Examiner Name Unknown

(Use as many sheets as necessary)

Attorney Docket Number 53051/294543 Sheet of

		NON PATENT LITERATURE DOCUMENTS	r
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
	33	RIZZO, T., "WinFS 101: Introducing the New Windows File System," Longhorn Developer Center Home: Headline Archive: WinFS 101: Introducing the New, http://msdn.Microsoft.com/Longhorn/archive/default.aspx?pull+/library/en-us/dnwinfs/htm , pp. 1-5, printed 4/21/2004.	
	34	"Searching for the next Google – New trends are helping nimble startups elbow in to the plundered market," RED HERRING – The Business of Technology, March 9, 2004, http://redherring.com/PrintArticle.aspx?a=4782&sector=Capital , pg. 1-5, printed 3/30/2004.	
	35	"Selecting Task-Relevant Sources for Just-In-Time Retrieval," pp. 1-3, no date.	
	36	SHERMAN, C., "HotBot's New Desktop Search Toolbar," www.searchenginewatch.com, http://searchenginewatch.com/searchday/print.php/34711 339921, pp. 1-3, printed 4/14/2004.	
	37	"Standardization Priorities for the Directory – Directory Interoperability Forum White Paper," The Open Group, December 2001, pp. 1-21.	
	38	SULLIVAN, D., "Alta Vista Releases Search Software," The Search Engine Report, August 4, 1998, pp. 1-2.	
	39	WebWatcher Home Page, "Welcome to the WebWatcher Project," http://www-2.cs.cmu.edu/~webwatcher/, printed 10/15/2003.	
	40	"WhenU Just-In-Time Marketing," http://www.whenu.com, printed 3/19/2004.	
	41	X1 instantly searches files & email. For outlook, Outlook, http://www.x1.com/, printed 3/15/2004.	
	42	ZELLWEGER, P., et al., "Fluid Links for Informed and Incremental Link Transitions," Proceedings of Hypertext'98, Pittsburgh, PA, June 20-24, 1998, pp.50-57.	

Examiner	Date
Signature	Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance

and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.